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| MISSOURI DEPARTMENT OF TRANSPORTATION  PERSONNEL POLICY MANUAL | Chapter Title Department Awards | | |
| | Policy Title Employee Recognition | | |
| | Policy Number 6001 | Page 1 of 5 | Effective Date November 15, 2020 |
| Approved By Steve Meystrik, Human Resources Director, Signature on File | Supersedes Policy Number 6001 | Page 1 of 5 | Prior Effective Date July 1, 2010 |

POLICY STATEMENT

The department is committed to supporting and developing employees so they can become as successful as possible in their jobs. The department may create incentive programs when approved by the Director (or designee) designed to award individual employees or employee teams for achieving standards that are unique for each incentive program. In addition, district engineers and division leaders/state engineers (and designees) are authorized to provide High Achiever and Note Worthy recognition to employees as described in this policy.

DEFINITIONS

Tangible Gift: (For purposes of this policy only) Work related items such as clothing (shirts, hats, etc.), tools, lapel pins, ink pen sets, and coffee mugs. This does not include meals.

Recognition: A positive reinforcement to acknowledge employees' achievements that support the department's Values and Tangible Results and increase employee engagement and retention.

PROVISIONS / REQUIREMENTS

1. Districts/divisions/offices are expected to support employee recognition to encourage employees and acknowledge their achievements. Supervisors and managers are expected to use various methods of recognition to encourage employees to perform their work safer, improve quality, and increase productivity to help the department achieve its Tangible Results. Any performance that compromises safety, quality, or productivity is not eligible for recognition. Each district/division/office is to develop a quality assurance review program to monitor employee recognition within their areas to ensure consistency in awards issued.

2. All employees of the department are eligible for a recognition award, with the exception of those listed below. Although these employees are not eligible to receive an award, supervisors should recognize them for their achievements.
 - A. The Director, Missouri Department of Transportation.
 - B. Employees who have received a disciplinary action above a written warning within the preceding 12 months.
 - C. Other employees identified as being ineligible in the criteria stated for each award program.
3. Recognitions authorized under this policy are intended to show appreciation for contributions employees make to the department. Not all achievements will be recognized with awards; however, supervisors are encouraged to regularly recognize employees for their achievements. MoDOT provides three programs for achievement recognition:
 - A. Incentive Programs
 - B. High Achiever
 - C. Note Worthy
4. Recognition awards can include cash, paid time off, tangible gifts, or non-monetary recognition, depending on available funding and the award options defined by the recognition program being used.
 - A. Cash awards are taxable. These awards will be reported as part of an employee's income and will be processed with the employee's paycheck.
 - B. Paid time off awards are limited to no more than 24 hours annually and must be used before annual leave or compensatory time. Award leave balances will not be paid out when employees separate from the department.
 - C. Tangible gifts should include the MoDOT logo and must not exceed the value limits of the award program. Tangible gifts valued at \$100 or more may be required to be included in taxable income. Contact the Financial Services Division – Payroll Section to determine taxability.
 - D. Non-monetary recognition is recommended through the following options:
 - (1) Verbal statements of appreciation.
 - (2) Written statements of appreciation (hand-written "thank you" notes, pre-printed "thank you" cards with handwritten notes, etc.).

- (3) Certificates of appreciation.
5. Award limits, per calendar year, per employee, are \$2,000 (excluding overtime) for the combined total of cash, tangible gift awards and 24 hours for paid leave awards. Additional limitations are:
 - A. When permanent part-time employees are eligible under an award program, they will be eligible to receive a pro-rated amount of the award, at either 50 percent or 75 percent, based on their normal part-time work schedules (see Personnel Policy 0515, "Permanent Part-Time and Job Share Employment").
 - B. No recognition awards will be issued to employees after they have separated (retired, resigned, etc.) from the department. Employees in a leave-without-pay status will not receive an award while on leave but will receive their award when/if they return to work.
6. Each recognition program will state the limitations on the number of times an employee can receive an award during a particular timeframe (quarter, year, etc.), or a limit on the cash, gift, or paid-time-off an employee can receive for each award.
7. Employees receiving an award for a specific achievement may receive only one award for the achievement, under the same recognition program, from MoDOT funds. Employees may receive additional awards from non-MoDOT sources, so long as such award does not violate Personnel Policy 2514, "Conflict of Interest".
8. Existing district/division/office budgets are to be used to fund most awards for employees within the district/division/office. Department management may define specific funding sources for designated incentive programs which generate a cost savings. When purchasing tangible gifts, only order the quantity of gifts to be immediately awarded. Employee Recognition Procedures are to be followed regarding collecting signatures of employees who are receiving tangible gifts and notifying the Financial Services Division or District Business and Benefits Services.
9. Grievances related to awards provided under this policy will be considered within a district/division only. These grievances will not be allowed to continue above the level of the district engineer or division leader/state engineer.

Recognition Programs

10. Incentive Programs
 - A. Incentive programs have specific standards to be met before individual employees or employee teams are eligible for awards. The incentive

program sponsor (district engineer or division leader/state engineer) will propose the standards to qualify for an award, the value of the award, the group of employees who will be eligible for the incentive program, and a funding mechanism (savings generated, etc.) for the incentive program.

- B. The Director (or designee) will approve appropriate incentive programs prior to implementation. The program sponsor will communicate information about incentive program awards when they are established or revised.

11. High Achiever

- A. District engineers or division leaders/state engineers (or designees) may provide High Achiever awards to eligible full-time or permanent part-time employees whose measurable performance is above and beyond what might be expected of an average employee in their position. Achievements should support the department's Values and Tangible Results to receive this award. Examples of achievements that could be recognized are:
 - (1) Completing projects or assigned tasks significantly ahead of schedule.
 - (2) Demonstrating MoDOT values in exemplary ways.
 - (3) Making significant contributions that impact the safety of the team and/or the public.
 - (4) Demonstrating performance on a project/task that results in a significant one-time achievement (cost saving, higher quality, etc.).
 - (5) Showing highly improved working relationships with partners/customers.
- B. High Achiever awards will be either two hours paid leave or \$40 (paid via paycheck). These awards are reported as part of an employee's income with applicable taxes withheld. Employees are limited to receiving no more than two High Achiever awards per calendar year.
- C. Supervisors will submit the names of nominated employees and their achievements for a High Achiever award to the district engineer or division leader/state engineer (or designee) soon after noticing a particular achievement they believe should be recognized. After supervisors' recommendations are reviewed, the district engineer or division leader/state engineer (or designee) will determine which employees will be chosen to receive a High Achiever award. To ensure these awards are provided soon after an accomplishment, these decisions will be made as

each recommendation is submitted rather than waiting until the end of the quarter to make the award decisions. This award is intended for individual employees rather than for employee teams.

12. Note Worthy

- A. District engineers and division leaders/state engineers (or designees) are authorized to purchase "Thank You" notes, Certificates of Appreciation, and tangible gifts valued at less than \$40 for an employee's occasional Note Worthy achievement that does not qualify for an incentive program or a High Achiever recognition. If they propose to provide a tangible gift worth \$40 or more at the department's expense, they must receive prior approval from the Director (or designee). The total value of tangible gifts awarded to an employee through this program is not to exceed \$100 each calendar year. This recognition can be for individual employees or employee teams.
- B. District engineers and division leaders/state engineers (or designees) will develop guidelines within their areas for consistent implementation of tangible gifts provided in this program.

CROSS REFERENCE

[Personnel Policy 0515, "Permanent Part-Time and Job Share Employment" Values and Tangible Results](#)

PROCEDURE

[Procedure 6001, "Employee Recognition"](#)